

IN THE UNITED STATES RECEIVING OFFICE

Applicant : Beverly W. Burbaum et al.  
Serial No. : 10/560,953  
Filed : December 15, 2005  
Title : PROCESSES FOR PREPARING 3-BENZAZEPINES

**MAIL STOP PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

In response to the Notification of Missing Requirements mailed December 1, 2006 (copy enclosed), Applicant as a small entity submits herewith the following:

- ☐ Payment of the basic filing fee of \$0;
- ☐ Payment of the additional/multiple dependent claims fees of \$0;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration.
- ☒ The fees in the amount of \$65 and \$510 are being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.
- ☒ Applicant is entitled to Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §§1.63 and 1.497;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☒ Other: Petition for 3-Month Extension of Time

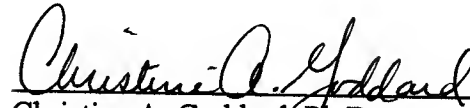
It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Applicant : Beverly W. Burbaum et al.  
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Filed : December 15, 2005  
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Attorney's Docket No.: 20750-042US1 / 064.US2.PCT

Respectfully submitted,

Date: 4-25-07

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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 www.uspto.gov

PXL/SHG

(150140)

U.S. APPLICATION NUMBER NO. 10/560,953	FIRST NAMED APPLICANT Beverly W Throop	ATTY. DOCKET NO. 64.US2.PCT
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INTERNATIONAL APPLICATION NO.
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PCT/US04/19279

I.A. FILING DATE 06/16/2004	PRIORITY DATE 06/17/2003
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CHRISTINE A. GODDARD PH.D  
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**RECEIVED**  
 COZEN IP DEPT

DEC 06 2006  
 DUE DATE 2-1-07  
 MAX DATE 7-1-07  
 DOCKETED BY A-T

CONFIRMATION NO. 1700

371 FORMALITIES LETTER



\*OC000000021436700\*

Date Mailed: 12/01/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/15/2005
- Copy of the International Search Report filed on 12/15/2005
- Copy of IPE Report filed on 12/15/2005
- Preliminary Amendments filed on 12/15/2005
- Information Disclosure Statements filed on 12/15/2005
- Request for Immediate Examination filed on 12/15/2005
- U.S. Basic National Fees filed on 12/15/2005
- Priority Documents filed on 12/15/2005
- Specification filed on 12/15/2005
- Claims filed on 12/15/2005
- Abstracts filed on 12/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$-480** for a Large Entity:

- **\$130** Surcharge.

(A previous payment of **\$610** will be applied to the additional fees indicated above.)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

DEBORAH D WILLIAMS

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,953	PCT/US04/19279	64.US2.PCT